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6-25-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Bock, et al.  
Appl. No.: 09/913,987  
Conf. No.: 8341  
Filed: August 20, 2001  
Title: NETWORK NODES WITH OPTICAL ADD/DROP MODULES  
Art Unit: 2633  
Examiner: Sharon Brooks  
Docket No.: 112740-261

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Technology Center 2600

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING BY FIRST CLASS MAIL UNDER 37 CFR 1.8

Sir:

I hereby certify that the following documents relating to the above-identified application:

1. Transmittal of Information Disclosure Statement (duplicate);
2. Information Disclosure Statement (2 pgs.);
3. PTO Form 1449;
4. Eight references; and
5. Return receipt postcard.

are being deposited with the United States Postal Service with sufficient postage as First

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on June 6, 2003.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

Robert J. Buccieri

Name of Person Mailing Correspondence

Signature

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
112740-261

In Re Application Of: **Bock et al.**

Serial No.  
09/913,987

Filing Date  
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Examiner  
Sharon Brooks

Group Art Unit  
2633

Title: **NETWORK NODES WITH OPTICAL ADD/DROP MODULES**



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Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**Technology Center 2600**

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
112740-261

In Re Application: **Bock et al.**

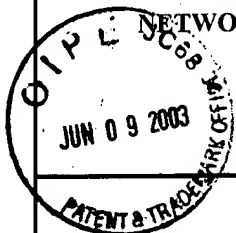
Serial No.  
09/913,987

Filing Date  
August 20, 2001

Examiner  
Sharon Brooks

Group Art Unit  
2633

**NETWORK NODES WITH OPTICAL ADD/DROP MODULES**



**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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\*This certificate may only be used if paying by deposit account.

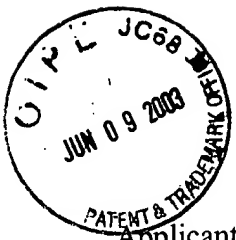
  
Signature

Thomas C. Basso  
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Chicago, IL 60690-1135  
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Dated: June 6, 2003

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**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

**U.S. PATENT DOCUMENTS**

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
5,748,349	05-05-98	Mizrahi

**FOREIGN PATENT DOCUMENTS**

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
EP 0729247	08-28-96	Europe
EP 0847158	06-10-98	Europe
EP 0892524	01-20-99	Europe
DE 19731494	02-18-99	Germany
EP 0907266	04-07-99	Europe
EP 0920153	06-02-99	Europe

**OTHER DOCUMENTS**

Al-Salameh et. al., *Optical Networking*, Bell Labs Technical Journal, Vol. 3, No. 1, January-March 1998, pp. 39-61.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY

  
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P.O. Box 1135

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Phone: (312) 807-4310

Dated: June 6, 2003

**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**  
(Use several sheets if necessary)

PTO Form 1449

Atty Docket No. 112740-261	Application No. 09/913,987
Applicant Bock et al.	
Filing Date 08-20-01	Group 2633

**U.S. PATENT DOCUMENTS**

Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	5,748,349	05-05-98	Mizrahi			

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						Yes	No
	EP 0729247	08-28-96	Europe				
	EP 0847158	06-10-98	Europe				
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	DE 19731494	02-18-99	Germany				
	EP 0907266	04-07-99	Europe				
	EP 0920153	06-02-99	Europe				

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

Examiner's Initials	
	Al-Salameh et. al., <i>Optical Networking</i> , Bell Labs Technical Journal, Vol. 3, No. 1, January-March 1998, pp. 39-61.

<b>Examiner:</b>	<b>Date Considered:</b>
<p>*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	